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ON INFORMATION FUNCTIONS PART ONE: BASIC FORMAL PROPERTIES

In this paper we present the definition and fundamental properties of the information functions – functions which establish a correspondence between sets of formulas and information contained in these sets. The intuitions of the notion of information stem from Bar-Hillel–Carnap’s conception derived from [1]. The information contained in the proposition is, according to this conception, the set of all state-descriptions excluded by this proposition.

By S we shall note the set of all formulas in the language $\{\neg, \wedge, \vee, \rightarrow\}$ and by C , the classical consequence operation over S . Instead of $C(\emptyset)$ we write $Taut$. The set of all complete subsets of S we note as Cpl .

We put forward the following definition:

DEFINITION A.1. A function $J : P(S) \rightarrow Z$, where Z is a family of sets, is called an information function iff

- (J1) $J(C(X)) \subseteq J(X)$, for any $X \subset S$
- (J2) $J(X) = \bigcup \{J(\{\alpha\}) : \alpha \in X\}$, for any $X \subset S$
- (J3) $J(\{\alpha\}) \cap J(\{\neg\alpha\}) = \emptyset$, for any $\alpha \in S$

The condition (J2) of the above definition may seem to be non-intuitive; it may seem, for example that the collective information contained in the formulas p and $p \rightarrow q$ is less than the information contained in the set $\{p, p \rightarrow q\}$. However, according to the interpretation presented above, it is not the case:

Let A be a set; we call it a set of all possible cases. For every $\underline{a} \in A$ we assume that \underline{a} is the case of the following kind: “in the time t , in the place r , x occurred (is occurring or will occur)”.

The state-description is every function $f \in \widehat{W}$, where $\widehat{W} = \{0, 1\}^A$. If $\underline{a} \in A$ and $f \in \widehat{W}$, then notation $f(\underline{a}) = 1$ means that the case \underline{a} occurred (is occurring or will occur); notation $f(\underline{a}) = 0$ means that the case \underline{a} did not occur (is not occurring, will not occur). To every proposition p we attribute its content, i.e. an element \underline{a}_p of the set A . The information contained in p is the set $I_{\{p\}} = \{f \in \widehat{W} : f(\underline{a}_p) = 0\}$. Similarly: $I_{\{p \rightarrow q\}} = \{f \in \widehat{W} : f(\underline{a}_p) = 1 \text{ and } f(\underline{a}_q) = 0\}$. The sets $\{p, p \rightarrow q\}$ and $\{p, q\}$, as logically equivalent, contain the same information, namely:

$$I_{\{p, p \rightarrow q\}} = I_{\{p, q\}} = \{f \in \widehat{W} : f(\underline{a}_p) = 0 \text{ or } f(\underline{a}_q) = 0\}.$$

It is easy to see, that $I_{\{p, p \rightarrow q\}} = I_{\{p\}} \cup I_{\{p \rightarrow q\}}$. \square

The following lemma gives several primary properties of the information functions. Instead of $J(\{\alpha_1, \dots, \alpha_n\})$ we shall write $J(\alpha_1, \dots, \alpha_n)$.

LEMMA A.2. *If J is an information function, then:*

- (a) $J(\emptyset) = \emptyset$
- (b) if $X \subseteq Y$, then $J(X) \subseteq J(Y)$, for any $X, Y \subseteq S$
- (c) $J(C(X)) = J(X)$, for any $X \subseteq S$
- (d) if $\mathcal{R} \subseteq P(S)$, then $J(\bigcup \mathcal{R}) = \bigcup \{J(X) : X \in \mathcal{R}\}$
- (e) if $\emptyset \neq \mathcal{R} \subseteq P(S)$, then $J(\bigcap \mathcal{R}) \subseteq \bigcap \{J(X) : X \in \mathcal{R}\}$
- (f) if $X, Y \subseteq S$ are theories, then $J(X) \cap J(Y) = J(X \cap Y)$
- (g) $J(\text{Taut}) = \emptyset$
- (h) if $\alpha \rightarrow \beta \in \text{Taut}$, then $J(\beta) \subseteq J(\alpha)$, for any $\alpha, \beta \in S$
- (i) $J(\neg\alpha) = J(S) \setminus J(\alpha)$, for any $\alpha \in S$
- (j) $J(\alpha \wedge \beta) = J(\alpha) \cap J(\beta)$, for any $\alpha, \beta \in S$
- (k) $J(\alpha \vee \beta) = J(\alpha) \cup J(\beta)$, for any $\alpha, \beta \in S$
- (l) $J(\alpha \rightarrow \beta) = J(\neg\alpha) \cup J(\beta)$, for any $\alpha, \beta \in S$

Let W be a set. By $\text{Inf}(W)$ we denote the class of all information functions J such that $J(S) = W$. From the item (b) of the above lemma it follows that if $J \in \text{Inf}(W)$, then $J : P(S) \rightarrow P(W)$.

THEOREM A.3. *Assume that $J : P(S) \rightarrow Z$ and $J(X) = \bigcup \{J(\alpha) : \alpha \in X\}$, for any $X \subseteq S$. Let $W = J(S)$. Then $J \in \text{Inf}(W)$ iff the mapping $h : S \rightarrow P(W)$ defined by*

$$h(\alpha) = W \setminus J(\alpha), \text{ for every } \alpha \in S$$

is a homomorphism, i.e. $h(\neg\alpha) = W \setminus h(\alpha)$, $h(\alpha \wedge \beta) = h(\alpha) \cap h(\beta)$, $h(\alpha \vee \beta) = h(\alpha) \cup h(\beta)$, $h(\alpha \rightarrow \beta) = h(\neg\alpha) \cup h(\beta)$, for any $\alpha, \beta \in S$. \square

Let $J \in \text{Inf}(W)$, $B_J = \{J(\alpha) : \alpha \in S\}$, $\underline{B}_J = \langle B_J, W, 0, -, \cap, \cup, \rightarrow \rangle$, where \cap and \cup are the usual set-theoretical operations and $-U = W \setminus U$, $U \rightarrow V = -U \cup V$, for any $U, V \in B_J$. Besides, we define:

$$C_J(X) = \{\alpha \in S : J(\alpha) \subseteq J(X)\}, \text{ for every } X \subseteq S$$

C_J is a consequence operation and for any $X \subseteq S : C(C_J(X)) = C_J(X)$.

THEOREM A.4. *If $J \in \text{Inf}(W)$, then \underline{B}_J is isomorphic with the Lindenbaum algebra $S/C_J(\emptyset)$.* \square

Let $J \in \text{Inf}(W)$. For every $U \subseteq W$ we define:

$$\text{con}_J(U) = \{\alpha \in S : J(\alpha) \subseteq U\}$$

LEMMA A.5. *If $J \in \text{Inf}(W)$, then:*

- (a) $C(\text{con}_J(U)) = C_J(\text{con}_J(U)) = \text{con}_J(U)$, for any $U \subseteq W$
- (b) $\text{con}_J(J(X)) = C_J(X)$, for any $X \subseteq S$
- (c) $C_J(X) = C_J(Y)$ iff $J(X) = J(Y)$, for any $X, Y \subseteq S$
- (d) if $\emptyset \neq \mathcal{R} \subseteq P(W)$, then $\text{con}_J(\bigcap \mathcal{R}) = \bigcap \{\text{con}_J(U) : U \in \mathcal{R}\}$
- (e) $\text{con}_J(X \setminus \{x\}) \in Cpl$, for any $x \in W$ \square

If $J \in \text{Inf}(W)$, then the family $T_J = \{J(X) : X \subseteq S\}$ is a topology in the set W . We say that topological space $\langle W, T_J \rangle$ is determined by J . $\langle W, T_J \rangle$ is a zero-dimensional space with countable basis B_J . In addition: $\text{int}U = J(\text{con}_J(U))$, for any $U \subseteq W$.

If for any $\alpha, \beta \in S : J(\beta) \subseteq J(\alpha)$ implies $\alpha \rightarrow \beta \in Taut$, then we write $J \in \text{Inf}^+(W)$. If $C = C_J$ then we write $J \in \text{Inf}^0(W)$. Observe that $J \in \text{Inf}^+(W)$ iff $\text{con}_J(\emptyset) = Taut$. It is simple that $\text{Inf}^0(W) \subseteq \text{Inf}^+(W)$, for any W .

LEMMA A.6. *If $J \in \text{Inf}^+(W)$, then*

$$J \in \text{Inf}^0(W) \text{ iff the space } \langle W, T_J \rangle \text{ is compact.} \quad \square$$

Note, that there exist a set W and $J \in \text{Inf}^+(W)$, such that $J \notin \text{Inf}^0(W)$.

LEMMA A.7. *If $J \in \text{Inf}(W)$, then there are $W_H \subseteq W$ and $J_H \in \text{Inf}(W_H)$ such that:*

- (a) $J_H(X) = J(X) \cap W_H$, for any $X \subseteq S$
- (b) $C_{J_H} = C_J$
- (c) W_H is a dense subset of W
- (d) $\langle W_H, T_{J_H} \rangle$ is a subspace of $\langle W, T_J \rangle$
- (e) $\langle W_H, T_{J_H} \rangle$ is a Hausdorff space. □

If $J \in \text{Inf}(W)$ and $\langle W, T_J \rangle$ is a Hausdorff space, then we write $J \in \text{Inf}_H(W)$.

LEMMA A.8. *Let $J \in \text{Inf}(W)$. The mapping $f : W \rightarrow P(S)$ we define by:*

$$f(x) = \text{con}_J(W \setminus \{x\}), \text{ for every } x \in W$$

Then we have:

- (a) $f[W] \subseteq \text{Cpl}$
- (b) $f[W] = \text{Cpl}$ iff $J \in \text{Inf}^0(W)$
- (c) f is one-to-one iff $J \in \text{Inf}_H(W)$. □

LEMMA A.9.

- (a) if $\text{Inf}_H(W) \neq \emptyset$, then $\overline{\overline{W}} \leq c$
- (b) if $\text{Inf}_H^+(W) \neq \emptyset$, then $\overline{\overline{W}} \geq \omega$
- (c) $\text{Inf}_H^0(W) \neq \emptyset$ iff $\overline{\overline{W}} = c$.

Sketch of proof of (c): Necessity results from Lemma A.8., in view of $\overline{\overline{\text{Cpl}}} = c$. The function $J : P(S) \rightarrow P(\text{Cpl})$ defined by:

$$J(X) = \{Z \in \text{Cpl} : X \not\subseteq Z\}, \text{ for every } X \subseteq S$$

is an element of $\text{Inf}_H^0(\text{Cpl})$. □

Let $J_1 \in \text{Inf}_H(W_1)$ and $J_2 \in \text{Inf}_H(W_2)$. A mapping $h : W_1 \rightarrow W_2$ is called an isomorphism from J_1 into J_2 iff h is one-to-one and onto and $J_2(X) = h[J_1(X)]$, for any $X \subseteq S$.

J_1 and J_2 are said to be isomorphic ($J_1 \approx J_2$) iff there is an isomorphism from J_1 onto J_2 . Note that each isomorphism from J_1 onto J_2 is a homeomorphism of the spaces $\langle W_1, T_{J_1} \rangle$ and $\langle W_2, T_{J_2} \rangle$. There exists at most one isomorphism from J_1 onto J_2 .

THEOREM A.10. If $J_1 \in \text{Inf}_H^0(W_1)$ and $J_2 \in \text{Inf}_H^0(W_2)$ then $J_1 \approx J_2$.
 \square

THEOREM A.11. If $J \in \text{Inf}_H(W)$ and $\bar{J} \in \text{Inf}_H(\bar{W})$, then there exists a unique mapping $h : W \rightarrow \bar{W}$ such that:

(a) h is a homeomorphism from W onto $h[W]$

(b) $h[J(X)] = \bar{J}(X) \cap h[W]$, for any $X \subseteq S$. \square

REMARK A.12. If $J \in \text{Inf}_H^0(W)$, then $\langle W, T_J \rangle$ is a zero-dimensional, compact, dense-in-itself, Hausdorff space. It is well known that each such space is homeomorphic with the Cantor space. So we may assume that $\langle W, T_J \rangle$ is the Cantor space. \square

References

[1] Y. Bar-Hillel and R. Carnap [1952], *An Outline of a Theory of Semantic Information*, **Technical Report** No 247. Cambridge (Mass.), MIT Research Laboratory of Electronics. Reprint in: Y. Bar-Hillel [1964] *Language and Information*, Reading (Mass.), Addison-Wesley.

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