

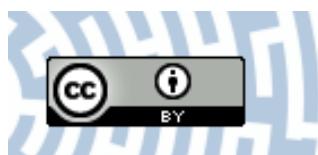


You have downloaded a document from
RE-BUŚ
repository of the University of Silesia in Katowice

Title: Interactions of amino acids with aluminum octacarboxyphthalocyanine hydroxide. Experimental and DFT studies

Author: Marta Kliber-Jasik, Małgorzata A. Broda, Anna Maroń, Joanna Nackiewicz

Citation style: Kliber-Jasik Marta, Broda Małgorzata A., Maroń Anna, Nackiewicz Joanna. (2017). Interactions of amino acids with aluminum octacarboxyphthalocyanine hydroxide. Experimental and DFT studies. "Journal of Molecular Modeling" (Vol. 23, iss. 2 (2017), art. 51, s. 1-10), doi 10.1007/s00894-017-3222-2



Uznanie autorstwa - Licencja ta pozwala na kopowanie, zmienianie, rozpowszechnianie, przedstawianie i wykonywanie utworu jedynie pod warunkiem oznaczenia autorstwa.

Interactions of amino acids with aluminum octacarboxyphthalocyanine hydroxide. Experimental and DFT studies

Marta Kliber-Jasik¹ · Małgorzata A. Broda¹ · Anna Maroń² · Joanna Nackiewicz¹

Received: 9 November 2016 / Accepted: 11 January 2017 / Published online: 4 February 2017
© The Author(s) 2017. This article is published with open access at Springerlink.com

Abstract The influence of albumin and amino acids (*L*-serine, glycine, *L*-histidine, *L*-tryptophan, *L*-cysteine) on the properties of aluminum octacarboxyphthalocyanine hydroxide ($\text{Al}(\text{OH})\text{PcOC}$) was investigated in a phosphate buffer (pH 8.0). Particular attention was paid to the spectroscopic properties and photostability of $\text{Al}(\text{OH})\text{PcOC}$. The effect of albumin or amino acids on the photodegradation of $\text{Al}(\text{OH})\text{PcOC}$ was examined in water using red light: 685 nm and daylight irradiation. Analysis of kinetic curves indicated that interaction with those molecules increases the photostability of $\text{Al}(\text{OH})\text{PcOC}$. The molecular structure of $\text{Al}(\text{OH})\text{PcOC}$ complexes (in vacuum and in water) with axially or equatorially coordinated amino acids was studied by the B3LYP/6-31G* method, and the effects on molecular structure and electronic absorption spectrum were investigated on the basis of the density functional theory. The calculation results revealed that axial coordination significantly reduces the non-planarity of the phthalocyanine ring, and, thus, alters the electronic structure. On the other hand, hydrogen bonding of phthalocyanine side COOH groups with

amino acids, in equatorial complexes, does not change the structure within the center of the phthalocyanine, and causes only a slight increase in UV–vis bands intensity, which is in perfect agreement with experimental data.

Keywords Aluminum octacarboxyphthalocyanine hydroxide · Amino acid · Protein · Photodynamic therapy · DFT calculations · TD-DFT spectra

Introduction

Phthalocyanines (Pcs) are structural analogues of porphyrins, but, in contrast to the latter, they are not found in nature and are obtained solely by chemical synthesis [1]. Pcs compounds can form complexes with most elements [2]. Due to their spectroscopic and photoelectric properties, as well as excellent chemical and thermal stability in different environments, substituted Pcs have been studied extensively, especially in recent years [3]. Metallophthalocyanines (MPcs) have been used, for example, as oxidation catalysts, chemical sensors, organic light-emitting diodes, semiconductors, molecular organic photovoltaics, photosensitizers in dye solar cells, photoactive elements in photocopies, electrochromic displays and in liquid crystalline materials [2, 4]. In recent years, Pcs have proved promising as second generation photosensitizers for photodynamic therapy (PDT) owing to their strong absorption in the phototherapeutic window 600–900 nm, ease of modification, high efficiency of generating reactive oxygen species (ROS), low dark toxicity and high phototoxicity [5–9]. The complexes of Pcs containing metals such as Al^{3+} , Ga^{3+} and Zn^{2+} are particularly interesting because these molecules have a high triplet quantum yield and long triplet lifetimes. These properties are necessary for high singlet oxygen quantum yields, and guarantee high cytotoxicity against

This paper belongs to Topical Collection 7th Conference on Modeling & Design of Molecular Materials in Trzebnica (MDMM 2016)

Electronic supplementary material The online version of this article (doi:10.1007/s00894-017-3222-2) contains supplementary material, which is available to authorized users.

✉ Marta Kliber-Jasik
mkliber@uni.opole.pl

¹ Faculty of Chemistry, Department of Physical Chemistry and Molecular Modeling, University of Opole, Oleska 48, Opole 45-052, Poland

² Institute of Chemistry, Department of Crystallography, University of Silesia, 9th Szkoła St., Katowice 40-006, Poland

tumors [10, 11]. Unsubstituted Pcs have two major limitations, i.e., low water solubility and a strong tendency to aggregate, which causes problems for their application in medicine [12, 13]. Nevertheless, the solubility of Pcs can be improved by the introduction of suitable functional groups to increase their hydrophilicity and make solvation easier. Increased solubility and reduced aggregation are very important requirements in PDT applications because aggregates shorten the triplet-state lifetime and reduce the singlet oxygen quantum yield [14]. From the point of view of medical applications, it is important to synthesize water-soluble Pcs so that drugs can be injected directly into the patient's bloodstream [15–18].

The photostability of MPcs is of fundamental importance for their use as photosensitizers in PDT. The term photodegradation (photobleaching) is generally used and defined in the literature as a decrease in the absorbance (of both the Q and B bands) caused by exposure to light. The phenomenon of photobleaching is dependent on many factors, e.g., the nature of substituents, dye concentration, the tendency to aggregate, and the type of solvent used. [19–21].

Aluminum octacarboxyphthalocyanine hydroxide [Al(OH)PcOC] (Fig. 1) has been regarded as a potential agent for photodynamic therapy [22, 23]. However, a proper candidate for PDT should be photochemically active, stable, and occur in a monomeric form. Obviously, a detailed knowledge about the interaction of compounds used for in vivo administration with living cell components, including amino acids and proteins, is required.

The objective of this paper was to investigate the interactions between Al(OH)PcOC and amino acids (glycine, L-serine, L-cysteine, L-tryptophan, L-histidine) or albumin.

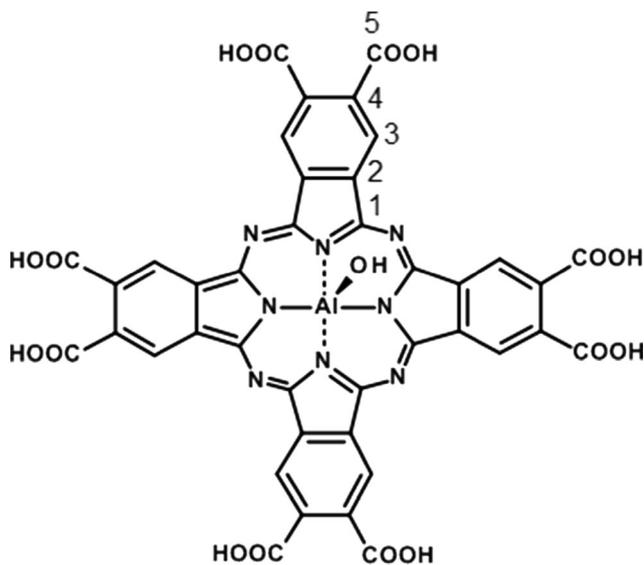


Fig. 1 Structure of aluminum octacarboxyphthalocyanine hydroxide [Al(OH)PcOC]

We investigated especially the tendency for aggregation and the photochemical stability of Al(OH)PcOC in the presence of albumin or selected amino acids in phosphate buffer. Moreover, density functional theory (DFT) calculations were performed in order to decide how amino acids interact with the studied Al(OH)PcOC. The optimized structures of different types of Al(OH)PcOC complexes with selected amino acids in environments of diverse polarity were obtained at the B3LYP/6-31G* level of theory. We analyzed the impact of hydrogen bonds and electrostatic interactions on complex stability. We also demonstrated the influence of amino acids on the TD-DFT predicted UV-vis spectra of Al(OH)PcOC.

Experimental

Details of the experimental and theoretical studies are included in the [Supplementary materials](#).

Synthesis of Al(OH)PcOC

Al(OH)PcOC was synthesized, purified and characterized according to published methods [24, 25]. In a first step, a mixture of pyromellitic dianhydride (benzene-1,2,4,5-tetracarboxylic dianhydride) (11.5 mmol), urea (0.22 mol), AlCl_3 (23.5 mmol) and dibutylurea (DBU; 0.1 g) were placed in a 100 ml two-necked round bottom flask fitted with a reflux condenser and a thermometer. The mixture was heated to 250 °C until the reaction mixture fused. The fused product was washed with water, acetone and HCl (3 M). In a second step, hydroxyaluminum octa-4,5-carboxyphthalocyanine tetraimide was hydrolyzed by boiling with 10 ml 20% H_2SO_4 (72 h). The resulting suspension was filtered, and the precipitate was washed with 100 ml portions of hot 5% H_2SO_4 and water. The product was purified by repeated chromatography on Al_2O_3 using a NaOH solution (1%) as the eluent.

Yield: (8%). Calc. for $\text{C}_{40}\text{H}_{17}\text{N}_8\text{O}_{17}\text{Al}$ (9 H_2O): C, 44.87; H, 3.29; N, 10.47. Found: C, 44.83; H, 3.22; N, 10.71. IR (KBr, cm^{-1}): 3413, 1707, 1580, 1454, 1315, 1264, 1226, 1137, 1076, 733, 629. UV-vis (DMSO), λ_{\max} (nm) ($\log \epsilon$): 361 (4.74), 629 (4.44), 701 (5.16). Double charged ions in time-of-flight-electrospray ionization mass spectrometry (TOF-ESI MS) spectrum corresponded to Al(OH)PcOC anions formed due to the dissociation of two protons, m/z : 453.0; 453.5; 454.0; 454.5; 455.0 [$\text{M} - 2\text{H}]^{2-}$. ^1H NMR (400 MHz, D_2O , ppm): 9.53 (8H, s, Pc-H), 4.68 (8H, s, carboxylic-H), ^{13}C NMR (400 MHz, D_2O , ppm): 150.92 (C-1), 121.52 (C-2), 140.00 (C-3), 136.46 (C-4), 176.85 (C-5).

Results and discussion

Aggregation studies

The properties of Pcs depend largely on aggregation. Pcs, due to strong $\pi-\pi$ interaction and the flatness of the aromatic cores, have a tendency to aggregate in solutions, which, for this type of complex, is a well-known feature widely described in the literature [12, 26–29]. In PDT, association is a negative phenomenon, i.e., it has an unfavorable effect on the physicochemical features of photosensitizers and decreases efficiency of generating ROS, which causes a meaningful weakness in the cytotoxicity of a potential drug [30–32]. In solution, the formation of associated molecules can be an effect of limited solubility [26]. The carboxylic groups in the peripheral benzene rings of the Al(OH)PcOC molecules make the compound well soluble in water. The research indicated that only monomers could produce highly oxidative cytotoxic media, i.e., free radicals or singlet oxygen, efficiently [30, 33].

The effect of pH on aggregation was tested for Al(OH)PcOC, which, like ZnPcOC [26], has a higher tendency to associate in acidified solutions than in water. It was found that absorbance of Al(OH)PcOC solutions depended on pH. Figure 2 shows that, in acid solutions (pH 2–5), the absorption spectrum is typical for phthalocyanine aggregates. In solutions of pH > 5, a considerably weaker band of vibronic character appeared, with $\lambda_{\text{max}} = 625 \text{ nm}$, while at pH ≥ 7 Al(OH)PcOC occurs predominantly in the monomeric form due to the electrostatic repulsion of negatively charged carboxylic groups.

Figure 3 demonstrates the influence of albumin and amino acids on the UV-vis absorption spectrum of Al(OH)PcOC at pH 8.0. The UV-Vis spectrum of Al(OH)PcOC exhibited absorption in the Q-band region ($\lambda_{\text{max}} = 691.5 \text{ nm}$) characteristic of monomeric metallophthalocyanine, accompanied by a

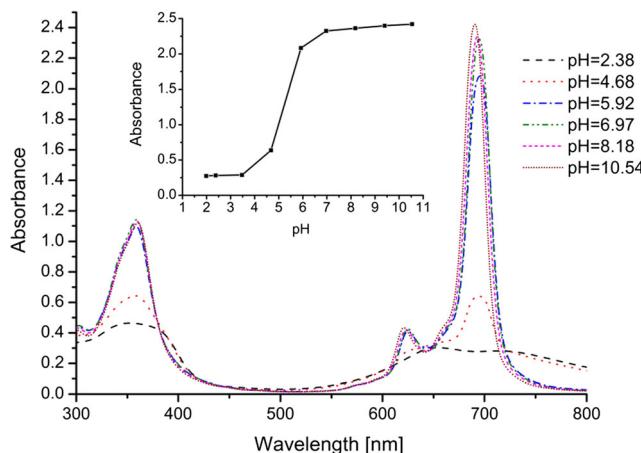


Fig. 2 Influence of pH on the UV-vis spectrum of Al(OH)PcOC, concentration of Al(OH)PcOC was $1 \cdot 10^{-5} \text{ mol}/\text{dm}^3$, Britton-Robinson buffer solutions were used. In inset the influence of pH on absorbance of Al(OH)PcOC solutions in the Q-band region

less intense vibronic band at $\lambda_{\text{max}} = 621.5 \text{ nm}$ and in the B band region at $\lambda_{\text{max}} = 360 \text{ nm}$.

The presence of selected amino acids or albumin does not have an impact on the position of the bands in the Soret region ($\lambda_{\text{max}} = 360 \text{ nm}$) or in the Q region ($\lambda_{\text{max}} = 621.5 \text{ and } 691.5 \text{ nm}$), just as in the case of zinc octacarboxyphthalocyanine [34]. Nevertheless, after adding albumin or amino acids to the Al(OH)PcOC solution, the intensity of B and Q bands slightly increased (Table 1). When albumin was added to the Al(OH)PcOC solution, the absorbance of the high intensity Q band went up by 1.22%. The effect of the albumin or amino acids on the absorbance of the Q band is as follows: albumin > glycine > L-serine > L-cysteine > L-tryptophan > L-histidine. The UV-vis spectra of Al(OH)PcOC presented in Fig. 3 showed that monomeric form of this complex predominates in a solution at pH 8.0. The ratio of the absorbances of the main Q band to the Soret band, and the ratio of absorbances of the main Q band to the vibronic band is constant, irrespective of which amino acid was added (ca. 2.4 for $A_{\lambda_{\text{max}} = 691.5 \text{ nm}}/A_{\lambda_{\text{max}} = 360 \text{ nm}}$ and 5.8 for $A_{\lambda_{\text{max}} = 691.5 \text{ nm}}/A_{\lambda_{\text{max}} = 621.5 \text{ nm}}$). The reason for the small increase in band intensity may be the interaction with amino acids. This hypothesis was checked by TD-DFT calculations. Binding of Al(OH)PcOC to L-tryptophan and albumin was investigated by spectrofluorometry research (see Supplementary Materials). The value of n (number of binding sites) obtained suggests that the aluminum phthalocyanine derivative creates a 1:1.6 adduct with L-tryptophan.

Photostability of Al(OH)PcOC and its complexes with amino acids

In the majority of applications, especially in PDT, the endurance of dyes to photobleaching plays a crucial role.

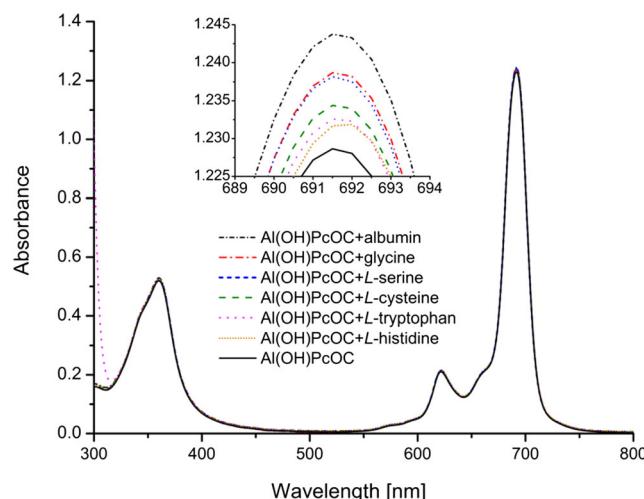


Fig. 3 The influence of albumin or amino acids on the UV-vis spectrum of Al(OH)PcOC. The concentrations of Al(OH)PcOC, amino acids and albumin were 5×10^{-6} , $2 \times 10^{-3} \text{ mol}/\text{dm}^3$, and 0.27 mg/ml, respectively

Table 1 Absorbance values of aluminum octacarboxyphthalocyanine hydroxide [Al(OH)PcOC] and its complexes with albumin or amino acids. Concentrations of Al(OH)PcOC, amino acids and albumin were 5×10^{-6} , 2×10^{-3} mol/dm 3 , and 0.27 mg/ml, respectively

Compound	B band (at 360 nm)	Q band (at 691.5 nm)	Vibronic band (at 621.5 nm)
Al(OH)PcOC	0.519	1.229	0.210
Al(OH)PcOC + L-histidine	0.524	1.232	0.214
Al(OH)PcOC + L-tryptophan	0.526	1.233 (692 nm)	0.212 (622 nm)
Al(OH)PcOC + L-cysteine	0.525	1.234	0.214
Al(OH)PcOC + L-serine	0.523	1.238	0.214
Al(OH)PcOC + glycine	0.523	1.239	0.212
Al(OH)PcOC + albumin	0.529	1.244	0.215

Experimentally, photodegradation of Pcs is characterized by a decrease in the intensity of both the B and Q bands. The absorbance changes over time for the main Q band ($\lambda_{\max} = 691.5$ nm) of the Al(OH)PcOC solution (pH 8.0) were examined upon exposure to daylight, visible light-685 nm, and for a solution “in darkness” (Fig. 4). Furthermore, photodegradation kinetic experiments were conducted for samples containing, beside Al(OH)PcOC, also albumin or amino acids. The results for the solutions irradiated with visible light are also shown in Fig. 4.

The kinetic curves indicate that Al(OH)PcOC is very stable when it was kept “in darkness”, while the intensity of the Q band decreases by degrees upon exposure of the samples to daylight and red light. However, when irradiated with red light, decrease of the absorbance is much faster (almost 40-fold faster compared to the solution exposed to daylight). Photodegradation by red light-685 nm can be characterized as a first-order reaction, the same as is the case of UV light [35]:

$$A(t) = A_0 \exp(-k_e \cdot t)$$

where A and A_0 are absorbances of the Q band for octacarboxyphthalocyanines, respectively, for time t and $t = 0$, k_e (effective reaction rate constant). The received values of k_e comparing the photostability of the studied octacarboxyphthalocyanines are shown in Table 2. The photolysis of ZnPcOC complex occur by the mechanism of first-order reaction and the resulting k_e shown in [34]. Also, Schnurpeil and co-workers presented the photo-oxidative stability of many complexes of zinc phthalocyanine; their k_e values were determined on the basis of the kinetics of the first-order reactions [36]. The rate constant of the irradiation of ZnPcOC complex under LED illumination was determined; its value was 0.1870 1/min. The value of the rate constant for this complex is much higher than was presented in [34] because a different dose of radiation was used. In the case of Al(OH)PcOC, complex dependence $A = f(t)$ was linear (Fig. 4), indicating a 0-order reaction. For this complex, k_e was determined from the equation $A_{(t)} = A_0 - kt$ (Table 2).

From the results of this study, it can be seen that addition of amino acids to the solution increases the photostability of

aluminum phthalocyanine hydroxide. When irradiated with red light (685 nm), amino acids cause a decrease in the k_e of Al(OH)PcOC photodegradation. However, the largest (up to ninefold) decrease in k_e was observed in the case of aromatic amino acids. Analogously, the presence of albumin in Al(OH)PcOC solution also causes a decrease of k_e of Al(OH)PcOC photodegradation. (Table 2). The slight increase in photostability of Al(OH)PcOC in the presence of albumin or amino acids was also observed for solutions irradiated with daylight. Figure 5 shows intensity changes in time for Al(OH)PcOC with L-cysteine for a solution exposed to red light-685 nm (Fig. 5a) and daylight (Fig. 5b).

Immediately after adding L-cysteine to the Al(OH)PcOC solution ($t = 0$ min), in the both cases: the solution exposed to daylight and red light-685 nm, two bands were observed in the Q-band region: a monomeric band at $\lambda_{\max} = 691.5$ nm, and a vibronic band at $\lambda_{\max} = 621.5$ nm, while the B band was observed at $\lambda_{\max} = 360$ nm. During interaction studies of Al(OH)PcOC with albumin or amino acids, in both cases—the sample exposed to daylight and the sample exposed to red

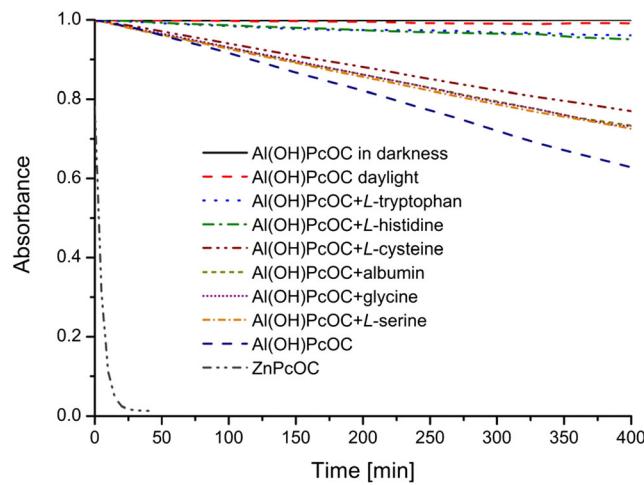


Fig. 4 Kinetic curves of photolyzed Al(OH)PcOC and its complexes with albumin or with amino acids (glycine, L-cysteine, L-serine, L-histidine, L-tryptophan) in the phosphate buffer (pH 8.0) with exposure to red light-685 nm; ZnPcOC and Al(OH)PcOC concentration = 5×10^{-6} mol/dm 3 ; albumin concentration = 0.27 mg/ml; concentration of amino acids = 2×10^{-3} mol/dm 3 ; measurements at $\lambda_{\max} = 691.5$ nm for Al(OH)PcOC and $\lambda_{\max} = 688$ nm for ZnPcOC

Table 2 Values of k_e (effective reaction rate constant) for Al(OH)PcOC and complexes of Al(OH)PcOC with amino acids or albumin. Concentrations of Al(OH)PcOC, amino acids and albumin were 5×10^{-6} , 2×10^{-3} mol/dm 3 , and 0.27 mg/ml, respectively

Compound	$k_e \times 10^9 [\frac{mol}{dm^3 \cdot min}]$	Red light (685 nm)-irradiation	Daylight-irradiation
	$k_e \times 10^2 [min^{-1}]$		
Al(OH)PcOC	6.06	0.16	
Al(OH)PcOC + L-serine	4.93	0.11	
Al(OH)PcOC + glycine	4.89	0.09	
Al(OH)PcOC + L-cysteine	4.32	0.07	
Al(OH)PcOC + L-histidine	0.84	0.07	
Al(OH)PcOC + L-tryptophan	0.64	0.06	
Al(OH)PcOC + albumin	4.34	0.07	
ZnPcOC	18.70	0.35	

light–685 nm—the location of the B and Q bands does not change with time. However, red light (685 nm) influences their absorbance only slightly. For the Al(OH)PcOC solution with L-cysteine, which was irradiated with red light–685 nm, after 6 h, the absorbance of the Q band ($\lambda_{max} = 691.5$ nm) decreased by 20.9% and the Soret band by 17.4%. On the other hand, for the solution exposed to daylight, after 6 h, the intensities of the two bands did not change with time

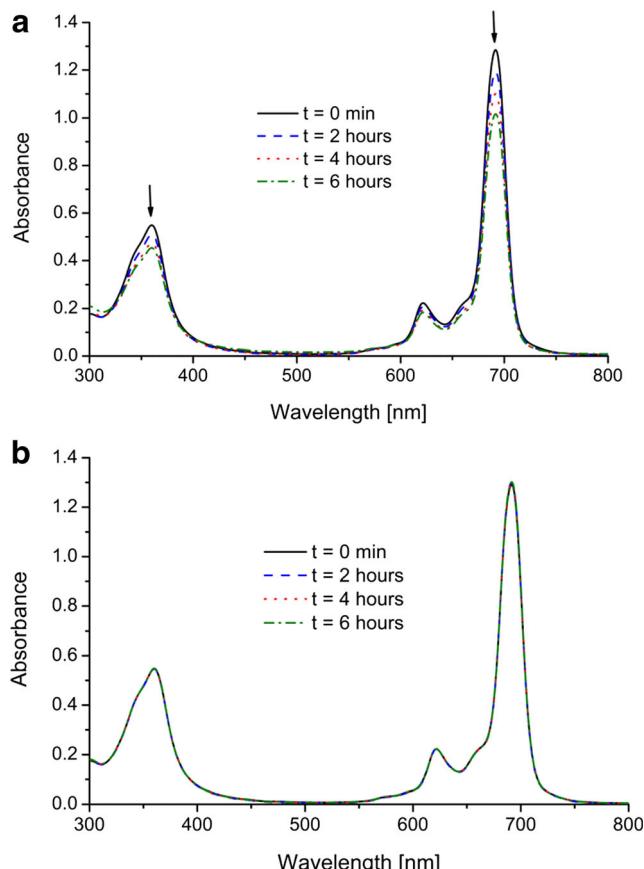


Fig. 5a,b UV-vis spectra of Al(OH)PcOC solution (pH 8.0) after adding L-cysteine. Concentrations of Al(OH)PcOC, amino acids were 5×10^{-6} and 2×10^{-3} mol/dm 3 , respectively. **a** Visible light–685 nm, **b** daylight

(the sample is not sensitive to daylight). The effect of amino acids on Al(OH)PcOC (at pH 8.0) placed "in darkness" was also studied. No change in band positions or intensities was observed.

Table 2 shows the rate constants of Al(OH)PcOC decomposition as result of exposure to red light (685 nm) and daylight. After irradiating the Al(OH)PcOC with 685 nm light, the effective photolysis rate constant is about 40 times higher than for the solution exposed to daylight (Table 2). The values of the effective reaction rate constants after adding albumin or amino acids to the Al(OH)PcOC solution were also determined. After adding an amino acid or albumin to the solution, in the case of samples exposed to red light (685 nm) the k_e constants changed in the following order: L-serine > glycine > albumin > L-cysteine > L-histidine > L-tryptophan. However, for the solution exposed to daylight, the k_e constant was lower, and changed in the same order as samples exposed to red light (Table 2, Fig. 4).

Our study showed that interaction of Al(OH)PcOC with albumin or selected amino acids decreases the photodegradation constant. This can be explained by the higher stabilization of the Al(OH)PcOC complex. The lowest value for k_e was noted for solutions containing Al(OH)PcOC and aromatic amino acids (L-histidine and L-tryptophan). The presence of the aromatic moiety in L-tryptophan (indole ring) and in L-histidine (imidazole ring) probably leads to a greater diversity of interactions between Al(OH)PcOC and aromatic amino acids.

Calculated structures of Al(OH)PcOC–amino acid complexes

The experimental results presented in this study indicate that the photostability of Al(OH)PcOC phthalocyanine increases in the presence of amino acids. To explain this phenomenon, we performed full geometry optimization of phthalocyanine complexes with amino acids both in vacuum and in water using DFT methods. This type of complex may be axial or equatorial. Equatorial complexes are stabilized by H-bond formation between the Al(OH)PcOC carboxyl group and an

$-\text{COOH}$ or $-\text{NH}_2$ group of the amino acid. Complexes of $\text{Al}(\text{OH})\text{PcOC}$ with axially coordinated amino acid in zwitterion form owe their stability to the interaction between the $-\text{COO}^-$ group and the Al ion. These calculations allowed the effect of the amino acid on the structure of phthalocyanine to be determined, and the interaction energies with selected amino acids to be estimated. The possibility of interaction with side chains was also taken into account in the case of histidine. For both types of studied complex, the starting geometry was built up with a zwitterion form of amino acid. During geometry optimization of equatorial complexes, proton transfer from the amino group to the carboxyl group of the amino acid occurs.

Figure 6 shows the B3LYP calculated structures of $\text{Al}(\text{OH})\text{PcOC}$ axial complexes with L-histidine, and Table 3 lists BSSE-corrected interaction energies and selected interatomic distances of optimized axial complexes structures.

The energy of interaction between $\text{Al}(\text{OH})\text{PcOC}$ and amino acids in axial complexes in vacuum were in the range 15–24 kcal mol $^{-1}$. The Al ion and oxygen of the amino acid $-\text{COO}^-$ group are separated by 2.18–2.08 Å, and this distance diminishes with increasing interaction energy. The shortest Al···O distance and the strongest interaction occurs for the adduct with L-histidine. Additionally, L-histidine can create another complex with $\text{Al}(\text{OH})\text{PcOC}$ via the nitrogen atom in the imidazole ring (Fig. 6). The parameters of this complex are also shown in Table 3, marked with N. This interaction is much weaker and its energy is about 11 kcal mol $^{-1}$.

Formation of an $\text{Al}(\text{OH})\text{PcOC}$ –amino acid axial complex changes the structure of the phthalocyanine molecule. In the isolated molecule, the aluminum ion is “pulled out” of the plane of the molecule as a result of interaction with the $-\text{OH}$ group. The distance of the aluminum atom from the plane, defined by the eight nitrogen atoms, is 0.549 Å. The interaction of the central metal with an amino acid $-\text{COO}^-$ group results in pulling the Al(III) toward the center of the macrocycle. Consequently, the distance of Al from the plane of the phthalocyanine is clearly reduced. This distance

decreases along with increasing of the interaction energy (E_{int}). The shortest distance (0.211 Å) was observed for the complex with histidine. What is very interesting is that this structural effect is opposite to that found [34] for the ZnPcOC complexes with axially bound amino acids. In those latter complexes, the interaction with the amino acids produced a nonplanar structure of the macrocycle.

Solvent effects significantly change geometrical parameters and interaction energies in the axial complexes. The water environment reduces the Al–OH bond strength [in the isolated $\text{Al}(\text{OH})\text{PcOC}$ molecule, the Al···O(H) distance is 1.736 Å in the gas phase and 1.747 Å in water], and allows the creation of a stronger contact with the amino acid. E_{int} increases to 24–26 kcal mol $^{-1}$. Also, in the complex with the histidine side chain, the interaction energy increased to 15 kcal mol $^{-1}$. Moreover, the effect of water reduces the distance between an aluminum ion and the oxygen atom of amino acid– COO^- group (e.g., the Al···O distance is 2.175 Å and 2.024 Å in vacuum and in water, respectively, in the case of glycine). At the same time, a partial flattening of the phthalocyanine molecule occurs. For example, in complex with histidine, the distance between the Al ion and the plane defined by the eight nitrogen atoms decreases from 0.211 Å in vacuum to 0.142 Å in water.

Other types of metal-ligand (ML) systems formed were aggregates formed via hydrogen bonds between phthalocyanine carboxyl groups and the $-\text{NH}_2$ or COOH groups of amino acids (Fig. 7). Table 4 gathers the interaction energies and selected structural parameters of those complexes. It is apparent from our DFT results that formation of such equatorial complexes between phthalocyanine and amino acids does not change the properties of the macrocycle. It can therefore be concluded that the spectroscopic characteristics of such a complex should be almost the same as those of free phthalocyanines.

The energy of two O–H···O H-bonds between the $-\text{COOH}$ group of the amino acid and $\text{Al}(\text{OH})\text{PcOC}$ in equatorial complexes is about 19 kcal mol $^{-1}$ for all studied amino acids. The

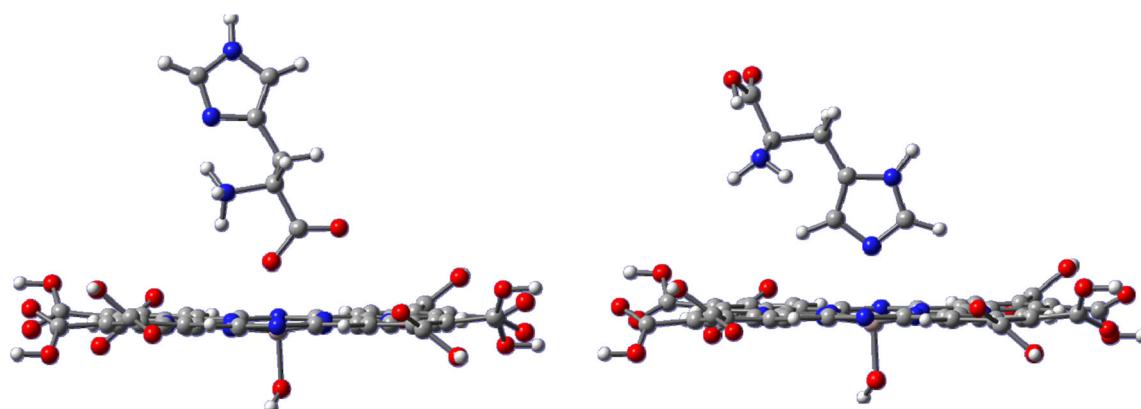


Fig. 6 Two types of axial $\text{Al}(\text{OH})\text{PcOC}$ –L-histidine complexes. Structures obtained at B3LYP/6-31G(d) level

Table 3 Energies of interactions and selected interatomic distances for axial Al(OH)PcOC:amino acid complexes obtained by B3LYP/6-31G(d) method

Complex	E_{int} (kcal mol ⁻¹)	Al–O (OH) length [Å]	Al ··· O (N) distance [Å]	Al–N length [Å]	$d(\text{Al} \cdots \text{cOC plane})$ [Å]
Vacuum					
Al(OH)PcOC	-	1.736	-	2.005	0.549
Al(OH)PcOC + glycine	15.2	1.770	2.175	1.989	0.265
Al(OH)PcOC + L-cysteine	16.3	1.771	2.151	1.989	0.255
Al(OH)PcOC + L-serine	18.6	1.774	2.122	1.989	0.241
Al(OH)PcOC + L-histidine	23.5	1.778	2.083	1.989	0.211
	10.5 (N)	1.770 (N)	2.336 (N)	1.988 (N)	0.245 (N)
Water					
Al(OH)PcOC	-	1.747	-	2.002	0.536
Al(OH)PcOC + glycine	23.9	1.806	2.024	1.987	0.152
Al(OH)PcOC + L-cysteine	24.6	1.801	2.020	1.987	0.152
Al(OH)PcOC + L-serine	24.6	1.807	2.018	1.987	0.147
Al(OH)PcOC + L-histidine	26.3	1.809	2.007	1.988	0.142
	14.7 (N)	1.800 (N)	2.202 (N)	1.987 (N)	0.160 (N)

effect of water on the structures and interaction energies of those equatorial complexes is much smaller than in the case of axial complexes. Interaction energy in an aqueous medium increases slightly (by less than 1 kcal mol⁻¹).

Somewhat weaker hydrogen bonds are formed between the acid group of the phthalocyanine and the amino group of the amino acid. Their energy is in the range of 13–18 kcal mol⁻¹ in the gas phase, and 15–19 kcal mol⁻¹ in a water environment. On the other hand, the interaction with the nitrogen atom in the histidine side chain is distinctly stronger (22.3 kcal mol⁻¹ and 30.2 kcal mol⁻¹ in gas the phase and in water, respectively), which is in agreement with experimental findings that histidine enhances the photostability of the studied phthalocyanine more effectively than cysteine, glycine or serine.

The DFT-predicted interaction energies between Al(OH)PcOC and amino acid molecules in both types of complexes in the gas phase are similar. Interestingly, in aqueous environment, axial complexes are favored by about 5 kcal mol⁻¹ over equatorial complexes. However, it should

be noted that it not only the energy factor that affects the formation of the Al(OH)PcOC complexes with amino acids, the entropy factor is probably also important. It seems that steric requirements are much more stringent in the case of axial complexes (especially considering that the aluminum ion is pulled out from the phthalocyanine plane by the –OH group). On the other hand, peripheral carboxylic groups are more easily accessible, and can interact with both the acid and amino groups of the amino acids. Beside, Al(OH)PcOC can bind axially only one amino acid molecule. In contrary, multiple binding is possible for equatorial complexes.

To determine the effect of interaction with amino acids on phthalocyanine optical properties, the UV–vis spectra for Al(OH)PcOC and its two complexes with L-serine were calculated (Fig. 8) at TD-DFT/CAM-B3LYP/6-31G(d) level in the water environment modelled by the PCM method. According to our TDDFT results, there are two intense bands at 668 nm and 314 nm for Al(OH)PcOC. The band positions closely reproduce the experimental spectra. The spectra of

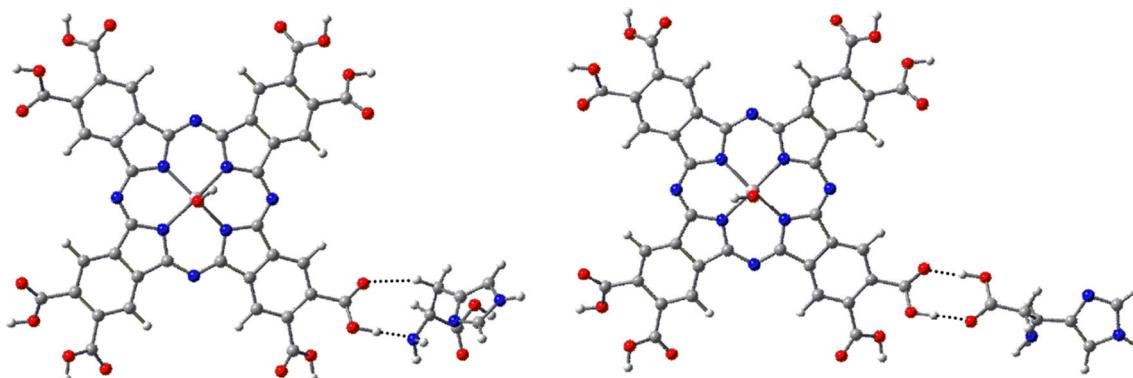
**Fig. 7** Structures of two types of equatorial complexes of Al(OH)PcOC with L-histidine calculated by B3LYP/6-31G(d) method. *Dotted lines* H-bonds

Table 4 Hydrogen bond energies (kcal mol⁻¹) and their selected distances (Å) for equatorial Al(OH)PcOC–amino acid complexes

Complex	E_{int}	$\text{OH}\cdots\text{O}$	OH length	$\text{O}\cdots\text{O}$	$d(\text{Al}\cdots\text{cOC plane}) [\text{\AA}]$
With two O-HEO bonds					
Vacuum					
Al(OH)PcOC + L-cysteine	18.7	1.680	1.005	2.685	0.548
Al(OH)PcOC + glycine	18.8	1.668	1.007	2.675	0.548
Al(OH)PcOC+ L-serine	19.3	1.680	1.005	2.685	0.548
Al(OH)PcOC+ L-histidine	18.8	1.659	1.008	2.667	0.548
Water					
Al(OH)PcOC + L-cysteine	19.4	1.674	1.006	2.679	0.538
Al(OH)PcOC + glycine	19.7	1.663	1.008	2.671	0.535
Al(OH)PcOC+ L-serine	19.5	1.672	1.007	2.678	0.536
Al(OH)PcOC+ L-histidine	19.7	1.659	1.008	2.667	0.535
With O-H···N and C ^α -H···O/O-H···N bonds					
Vacuum					
Al(OH)PcOC + L-cysteine	13.4	1.725	1.018	2.741	0.548
Al(OH)PcOC + glycine	14.3	1.702	1.022	2.723	0.548
Al(OH)PcOC+ L-serine	15.6	1.689	1.026	2.715	0.548
Al(OH)PcOC+ L-histidine	18.4	1.636	1.040	2.675	0.548
	22.3 ^a	1.749	1.011	2.711	0.548
Water					
Al(OH)PcOC + L-cysteine	14.6	1.647	1.036	2.682	0.536
Al(OH)PcOC + glycine	16.8	1.618	1.044	2.661	0.535
Al(OH)PcOC+ L-serine	17.2	1.611	1.047	2.656	0.535
Al(OH)PcOC+ L-histidine	19.5	1.569	1.061	2.628	0.535
	30.2 ^a	1.652	1.033	2.664	0.535

^aThe equatorial complex is stabilized due to the presence of two O-H···N hydrogen bonds formed by the NH₂ group and with a nitrogen atom in the L-histidine side chain

Al(OH)PcOC and its equatorial complex with L-serine are almost identical. The interaction with amino acids in such a complex results in a slightly increased intensity of both bands. This phenomenon is also observed experimentally in the electronic spectrum of Al(OH)PcOC in the presence of amino

acids. In the case of the axial complex, splitting of the Soret band is predicted theoretically (Fig. 8), but not observed experimentally.

Conclusions

In summary, we investigated the impact of albumin and several coded amino acids on the electronic absorption spectra and photostability of Al(OH)PcOC by means of UV-vis spectroscopy and DFT calculations. Our investigation reveals that, in solutions containing an amino acid or albumin, no change in the positions of the bands in the UV-vis spectrum was observed for the studied compound, Al(OH)PcOC. Instead, a slight increase in the absorbance of the bands in the Q and B regions occur. As a result of exposure to red light, Al(OH)PcOC decomposes, as revealed by a decrease in band intensity in both regions. The photostability of the phthalocyanine is higher upon the addition of amino acids or bovine serum albumin to the solution. An increased photostability in the presence of amino acids and albumin was also observed

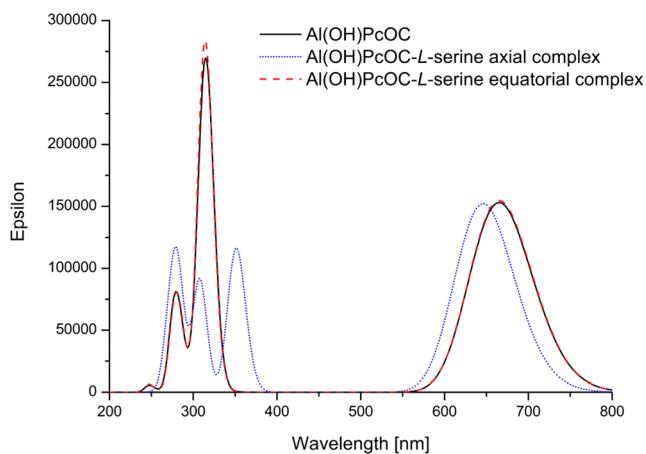


Fig. 8 UV–Vis spectra of Al(OH)PcOC and its complexes with L-serine in water calculated by the TD-DFT/CAM-B3LYP/6-31G(d) method

for zinc octacarboxyphthalocyanine [34]. It is concluded that the studied amino acids do not degrade zinc and aluminum phthalocyanines. Therefore, the investigated compounds could be used as potential photosensitizers. DFT/ B3LYP/6-31G(d) // TD-DFT/CAM-B3LYP/6-31G(d) calculated UV-vis spectra for equatorial complex of Al(OH)PcOC with amino acids closely resembled experimental spectra. This indicates the absence of the axial complex in solution. The structures of equatorial Al(OH)PcOC complexes with selected amino acids are stabilized by hydrogen bonds formed between carboxylic groups of phthalocyanine and amino acid. The energy of such interactions is equal to 19 kcal mol⁻¹ and increases slightly in polar environments.

Acknowledgements Calculations were carried out in the Wrocław Centre for Networking and Supercomputing (<http://www.wcss.wroc.pl>), and in the Academic Computer Centre CYFRONET, AGH, Kraków, grant MEiN/SGI3700/UOpolski/063/2006.

Open Access This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

References

- Kobayashi N (2002) Design, synthesis, structure, and spectroscopic and electrochemical properties of phthalocyanines. *Bull Chem Soc Jpn* 75:1–19. doi:[10.1246/bcsj.75.1](https://doi.org/10.1246/bcsj.75.1)
- Gottfried JM (2015) Surface chemistry of porphyrins and phthalocyanines. *Surf Sci Rep* 70:259–379. doi:[10.1016/j.surrep.2015.04.001](https://doi.org/10.1016/j.surrep.2015.04.001)
- Bilgi li AT, Durdaoglu M, K rba E, Yarasir MN, Kandaz M (2015) Metal ion sensing soluble α or β tetrasubstituted gallium and indium phthalocyanines: synthesis, characterization, photochemistry and aggregation behaviors. *Polyhedron* 100:1–9. doi:[10.1016/j.poly.2015.07.035](https://doi.org/10.1016/j.poly.2015.07.035)
- Gregory P (2000) Industrial applications of phthalocyanines. *J Porphyrins Phthalocyanines* 4:432–437. doi:[10.1002/\(SICI\)1099-1409\(200006/07\)4:4<432::AID-JPP254>3.0.CO;2-N](https://doi.org/10.1002/(SICI)1099-1409(200006/07)4:4<432::AID-JPP254>3.0.CO;2-N)
- Kirbaç E, Atmaca GY, Erdoğmuş A (2014) Novel highly soluble fluoro, chloro, bromo-phenoxy-phenoxy substituted zinc phthalocyanines; synthesis, characterization and photophysical properties. *J Organomet Chem* 752:115–122. doi:[10.1016/j.jorgchem.2013.12.005](https://doi.org/10.1016/j.jorgchem.2013.12.005)
- Dao KL, Hanson RN (2012) Targeting the estrogen receptor using steroid-therapeutic drug conjugates (hybrids). *Bioconjugate Chem* 23(11):2139–2158. doi:[10.1021/bc300378e](https://doi.org/10.1021/bc300378e)
- Ishii K, Shiine M, Shimizu Y, Hoshino S, Abe H, Sogawa K, Kobayashi N (2008) Control of photobleaching in photodynamic therapy using the photodecarbonylation reaction of ruthenium phthalocyanine complexes via stepwise Two-photon excitation. *J Phys Chem B* 112(10):3138–3143. doi:[10.1021/jp076118k](https://doi.org/10.1021/jp076118k)
- Çakır V, Çakır D, Pişkin M, Durmuş M, Bryikhlıoğlu Z (2015) New peripherally and non-peripherally tetra-substituted water soluble zinc phthalocyanines: synthesis photophysics and photochemistry. *J Organomet Chem* 783:120–129. doi:[10.1016/j.jorgchem.2015.02.021](https://doi.org/10.1016/j.jorgchem.2015.02.021)
- Ogbodu RO, Nyokong T (2015) The effect of ascorbic acid on the photophysical properties and photodynamic therapy activities of zinc phthalocyanine-single walled carbon nanotube conjugate on MCF-7 cancer cell. *Spectrochim Acta A* 151:174–183. doi:[10.1016/j.saa.2015.06.063](https://doi.org/10.1016/j.saa.2015.06.063)
- Çakır D, Göl C, Çakır V, Durmuş M, Bryikhlıoğlu Z, Kantekin H (2015) Water soluble {2-[3-(diethylamino)phenoxy]ethoxy} substituted zinc(II) phthalocyanine photosensitizers. *J Lumin* 159:79–87. doi:[10.1016/j.jlumin.2014.10.044](https://doi.org/10.1016/j.jlumin.2014.10.044)
- Karaoğlan GK, Gümrukçü G, Koca A, Gü l A (2011) The synthesis, characterization, electrochemical and spectroelectrochemical properties of a novel, cationic, water soluble Zn phthalocyanine with extended conjugation. *Dyes Pigm* 88:247–256. doi:[10.1016/j.dyepig.2010.07.003](https://doi.org/10.1016/j.dyepig.2010.07.003)
- Lukyanets EA, Nemykin VN (2010) The key role of peripheral substituents in the chemistry of phthalocyanines and their analogs. *J Porphyrins Phthalocyanines* 14:1–40. doi:[10.1142/S1088424610001799](https://doi.org/10.1142/S1088424610001799)
- Lopez T, Ortiz E, Alvarez M, Navarrete J, Odriozola JA, Martinez-Ortega F, Páez-Mozo EA, Escobar P, Espinoza KA, Rivero IA (2010) Study of the stabilization of zinc phthalocyanine in sol-gel TiO₂ for photodynamic therapy applications. *Nanomedicine: NBM* 6:777–785. doi:[10.1016/j.nano.2010.04.007](https://doi.org/10.1016/j.nano.2010.04.007)
- Phillips D (2011) Toward targeted photodynamic therapy. *Pure Appl Chem* 83:733–748. doi:[10.1351/PAC-CON-11-01-05](https://doi.org/10.1351/PAC-CON-11-01-05)
- De Filippis MP, Dei D, Fantetti L, Roncucci G (2000) Synthesis of a new water-soluble octa-cationic phthalocyanine derivative for PDT. *Tetrahedron Lett* 41:9143–9147. doi:[10.1016/S0040-4039\(00\)01638-5](https://doi.org/10.1016/S0040-4039(00)01638-5)
- Durmüş M, Ahsen V (2010) Water-soluble cationic gallium(III) and indium(III) phthalocyanines for photodynamic therapy. *J Inorg Biochem* 104:297–309. doi:[10.1016/j.jinorgbio.2009.12.011](https://doi.org/10.1016/j.jinorgbio.2009.12.011)
- Dumoulin F, Durmuş M, Ahsen V, Nyokong T (2010) Synthetic pathways to water-soluble phthalocyanines and close analogs. *Coord Chem Rev* 254:2792–2847. doi:[10.1016/j.ccr.2010.05.002](https://doi.org/10.1016/j.ccr.2010.05.002)
- Çakır D, Çakır V, Bryikhlıoğlu Z, Durmuş M, Kantekin H (2013) New water soluble cationic zinc phthalocyanines as potential for photodynamic therapy of cancer. *J Organomet Chem* 745–746:423–431. doi:[10.1016/j.jorgchem.2013.08.025](https://doi.org/10.1016/j.jorgchem.2013.08.025)
- Kuznetsova NA, Kaliya OL (2012) Oxidative photobleaching of phthalocyanines in solution. *J Porphyrins Phthalocyanines* 16:705–712. doi:[10.1142/S1088424612300042](https://doi.org/10.1142/S1088424612300042)
- Nyokong T (2007) Effects of substituents on the photochemical and photophysical properties of main group metal phthalocyanines. *Coord Chem Rev* 251:1707–1722. doi:[10.1016/j.ccr.2006.11.011](https://doi.org/10.1016/j.ccr.2006.11.011)
- Bonnett R, Martinez G (2001) Photobleaching of sensitizers used in photodynamic therapy. *Tetrahedron* 57:9513–9547. doi:[10.1016/S0040-4020\(01\)00952-8](https://doi.org/10.1016/S0040-4020(01)00952-8)
- Idowu M, Ogunsipe A, Nyokong T (2007) Excited state dynamics of zinc and aluminum phthalocyanine carboxylates. *Spectrochim Acta Part A* 68:995–999. doi:[10.1016/j.saa.2007.01.025](https://doi.org/10.1016/j.saa.2007.01.025)
- Idowu M, Nyokong T (2008) Photosensitizing properties of octacarboxy metallophthalocyanines in aqueous medium and their interaction with bovine serum albumin. *J Photochem Photobiol A* 200:396–401. doi:[10.1016/j.jphotochem.2008.09.003](https://doi.org/10.1016/j.jphotochem.2008.09.003)
- Sakamoto K, Ohno E (1997) Synthesis and electron transfer property of phthalocyanine derivatives. *Prog Org Coatings* 3:139–145. doi:[10.1016/S0300-9440\(97\)00029-5](https://doi.org/10.1016/S0300-9440(97)00029-5)
- Kuznetsova NA (2002) Generation of singlet oxygen with anionic aluminum phthalocyanines in water. *Russ J Gen Chem* 72:303–306. doi:[10.1023/A:1015402524813](https://doi.org/10.1023/A:1015402524813)
- Suchan A, Nackiewicz J, Hnatejko Z, Wacławek W, Lis S (2009) Spectral studies of zinc octacarboxyphthalocyanine aggregation. *Dyes Pigm* 80:239–244. doi:[10.1016/j.dyepig.2008.06.009](https://doi.org/10.1016/j.dyepig.2008.06.009)

27. Feast W, L'vovich PW, Puschmann H, Taliani C (2001) Synthesis and structure of 4,4'-bis(2,3,4,5,6-pentafluorostyryl)stilbene, a self-assembling J aggregate based on aryl-fluoroaryl interactions. *Chem Commun* 5:505–506. doi:[10.1039/B100002K](https://doi.org/10.1039/B100002K)
28. Yao H, Omizo M, Kitamura N (2000) Mesoscopic string structures of thiacyanine J aggregates in solution. *Chem Commun* 9:739–740. doi:[10.1039/B000548G](https://doi.org/10.1039/B000548G)
29. Garcia-Sanchez MA, Campero A (2000) Aggregation properties of metallic tetrasulfophthalocyanines embedded in sol-gel silica. *Polyhedron* 19:2383–2386. doi:[10.1016/S0277-5387\(00\)00575-1](https://doi.org/10.1016/S0277-5387(00)00575-1)
30. Durmuş M, Ahsen V, Nyokong T (2007) Photophysical and photochemical studies of long chain-substituted zinc phthalocyanines. *J Photochem Photobiol A Chem* 186:323–329. doi:[10.1016/j.jphotochem.2006.08.025](https://doi.org/10.1016/j.jphotochem.2006.08.025)
31. Akkurt B, Hamuryudan E (2008) Enhancement of solubility via esterification: synthesis and characterization of octakis (ester)-substituted phthalocyanines. *Dyes Pigm* 79:153–158. doi:[10.1016/j.dyepig.2008.02.001](https://doi.org/10.1016/j.dyepig.2008.02.001)
32. Tatikolov AS, Costa SMB (2001) Photophysical and aggregation properties of a long-chain squarylium indocyanine dye. *J Photochem Photobiol A Chem* 140:147–156. doi:[10.1016/S1010-6030\(01\)00405-1](https://doi.org/10.1016/S1010-6030(01)00405-1)
33. Konan YN, Gurny R, Allémann E (2002) State of the art in the delivery of photosensitizers for photodynamic therapy. *J Photochem Photobiol B Biol* 66:89–106. doi:[10.1016/S1011-1344\(01\)00267-6](https://doi.org/10.1016/S1011-1344(01)00267-6)
34. Kliber M, Broda MA, Nackiewicz J (2016) Interactions of zinc octacarboxyphthalocyanine with selected amino acids and with albumin. *Spectrochim Acta A* 155:54–60. doi:[10.1016/j.saa.2015.11.003](https://doi.org/10.1016/j.saa.2015.11.003)
35. Slota R, Dyrda G (2003) UV photostability of metal phthalocyanines in organic solvents. *Inorg Chem* 42:5743–5750. doi:[10.1021/ic0260217](https://doi.org/10.1021/ic0260217)
36. Schnurpfeil G, Sobbi AK, Spiller W, Kliesch H, Wöhrle D (1997) Photo-oxidative stability and its correlation with semi-empirical molecular calculations of various tetraazaporphyrin derivatives in solution. *J Porphyrins Phthalocyanines* 1:159–167. doi:[10.1002/\(SICI\)1099-1409\(199704\)1:2<159::AID-JPP19>3.0.CO;2-8](https://doi.org/10.1002/(SICI)1099-1409(199704)1:2<159::AID-JPP19>3.0.CO;2-8)